

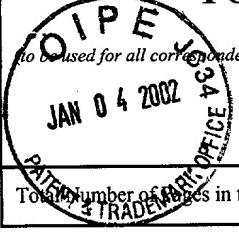
Please type a plus sign (+) inside this box [+]

PTO/SB/21 (12-97)

Approved for use through 10/31/02. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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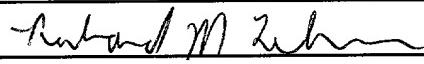
TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>  Total Number of Pages in this Submission []	Application Number	09,863,794
	Filing Date	May 23, 2000
	First Named Inventor	Kenneth A. Krupa
	Group Art Unit	Not yet assigned
	Examiner Name	Not yet assigned
Attorney Docket Number	KRU-3.2.001/3430	

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Preliminary Amendment <input type="checkbox"/> After Final <input checked="" type="checkbox"/> Affidavits/declaration(s) Executed <input checked="" type="checkbox"/> Extension of Time Request (1) Month <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts Under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an application) <input checked="" type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition To Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) <i>(Please identify below):</i> •Check in the amount of \$120.00 •Acknowledgment Postcard	
			<input type="checkbox"/>

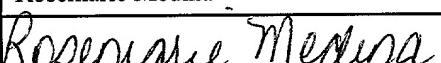
[REMARKS]

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	COBRIN & GITTES Richard M. Lehrer, Reg. No. 38,536
Signature	
Date	October 22, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box _____, Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: [October 22, 2001]

Typed or printed name	Rosemarie Medina
Signature	
	[Date]: October 22, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision



Complete if Known

Application Number	09/863,794
Filing Date	May 23, 2000
First Named Inventor	Kenneth Krupa
Examiner Name	Not Yet Assigned
Group/Art Unit	Not Yet Assigned

TOTAL AMOUNT OF PAYMENT	(\$120.00)	Attorney Docket No.	KRU-3.2.001/3430
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METHOD OF PAYMENT (check one)

FEE CALCULATION (continued)

1. [X] The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to
Deposit Account Number [03-2317]
Deposit Account Name: [COBRIN & GITTES]
[X] Charge any additional fee required under 37 CFR 1.16 and 1.17
[] Applicant claims small entity status See 37 CFR 1.27

3. ADDITIONAL FEES

Large Entity		Small Entity			
Code	(\$)	Code	(\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - Late filing fee or oath	65 00

2. [X] Payment Enclosed:

[X] Check [] Money Order [] Other

127 50 227 25 Surcharge - late provisional filing fee or cover sheet

139 130 139 130 Non-English specification

FEE CALCULATION

147 2,520 147 2,520 Request for Reexamination

1. BASIC FILING FEE

112 920* 112 920* Req. publication of SIR prior to Examiner action

Large Entity	Small Entity			Fee Description	Fee Paid	Requesting publication of SIR after Examiner action
Code	(\$)	Code	(\$)	Fee Description	Fee Paid	Extension within first month
101	740	201	370	Utility filing fee	\$ 116 400 216 200	Extension within second month
106	330	206	165	Design filing fee	117 920 217 460	Extension within third month
107	510	207	255	Plant filing fee	118 1,440 218 720	Extension within fourth month
108	740	208	370	Reissue filing fee	128 1,960 228 980	Extension within fifth month
114	160	214	80	Provisional filing fee	119 320 219 160	Notice of Appeal
SUBTOTAL (2)				\$ 0	120 320 220 160	Brief in support of an appeal

2. EXTRA CLAIM FEES

121 280 221 140 Request for oral hearing

		Extra Claim	Fee below	Fee Paid	Petition to institute a public use proceeding
Total Claims	-20 =	x	\$	140 110 240 55	Petition to revive - unavoidable
Indep. Claims	-3 =	x	\$	141 1,280 241 640	Petition to revive - unintentional
Multiple Dependent				142 1,280 241 640	Utility issue fee (or reissue)

** or number previously paid, if greater; for reissues, see below

143 460 243 230 Design issue fee

Large Entity	Small Entity			Fee Description	Fee Paid	Plant issue fee
Code	(\$)	Code	(\$)	Fee Description	Fee Paid	Petitions to the Commissioner
103	18	203	9	Claims in excess of 20	123 50 123 50	Petitions related to provisional applications
102	84	202	42	Independent claims in excess of 3	126 180 126 180	Submit Info Disclosure Stmt
104	280	204	140	Multiple dependent claim, if not paid	581 40 581 40	Patent Assignment per property
109	84	209	42	**Reissue independent claims over original patent	146 740 246 370	Filing a submission after final rejection (37 CFR 1.129(a))
110	18	210	9	**Reissue claims in excess of 20 and over original patent	149 740 249 370	For each additional invention to be examined (37 CFR 1.129(b))
					179 740 279 370	Request for Continued Examination (RCE)
					169 900 169 900	Request for expedited examination of a design appln
		SUBTOTAL (2)	(S)	Other fee (specify)		

**or number previously paid, if greater; For Reissues, see above

*Reduced by Basic filing fee Paid SUBTOTAL (3) 120 00

SUBMITTED BY				Complete (if applicable)		
Name (Print Type)	Richard M. Lehrer	Registration Number	38,536	Telephone	(212) 486-4000	
Signature			Date	October 22, 2001		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kenneth Krupa

Examiner: Not Yet Assigned

Serial No.: 09/863,794

Art Unit: Not Yet Assigned

Filing Date: May 23, 2000

Atty Docket No.: KRU-3.2.001/3430

For: SYSTEM AND METHOD FOR CONVERTING AN XML DATA STRUCTURE INTO
A RELATIONAL DATABASE

Box Missing Parts

Assistant Commissioner for Patents
Washington, D.C. 20231

SUBMISSION OF MISSING PARTS

Sir:

In response to the Notice to File Missing Parts dated July 20, 2001, Applicant submits herewith an executed Declaration and drawing sheets. A check for the surcharge fee of \$65.00 is enclosed herewith.

Applicants respectfully submit that the application is complete, i.e., there are no missing parts.

Respectfully submitted,

Date: October 22, 2001


Richard M. Lehrer
Reg. No. 38,536
COBRIN & GITTES
750 Lexington Avenue
New York, New York 10022
(212) 486-4000



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/863,794	05/23/2001	Kenneth A Krupa	KRU-3 2 001/3430

CONFIRMATION NO. 9780

FORMALITIES LETTER



OC00000006325945

COBRIN & GITTES
750 Lexington Avenue, 21 Floor
New York, NY 10022

Date Mailed: 07/20/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01/14/2002 TV0111 00000007 032317 09863794

FILED UNDER 37 CFR 1.53(b)

01 FC:201 370.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 420.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

01/08/2002 BSAYAS11 00000007 09863794

01 FC:205

65.00 CH

*A copy of this notice **MUST** be returned with the reply.*

Moring

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE